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CONFIRMATION NO. 6851

|   |   |                                   |   |   |                                |
|---|---|-----------------------------------|---|---|--------------------------------|
| <b>SERIAL NUMBER</b><br>10/829,611  | <b>FILING OR 371(c) DATE</b><br>04/22/2004<br><b>RULE</b>   | <b>CLASS</b><br>385               | <b>GROUP ART UNIT</b><br>2874   | <b>ATTORNEY DOCKET NO.</b><br>DEE-PT168 |                                |
| <b>APPLICANTS</b><br>Shyh-Lin Tsao, Sindian City, TAIWAN;<br>Chang-Hung Tien, Taoyuan City, TAIWAN;<br>Chun-Wei Tsai, Hualien City, TAIWAN;   |   |                                   |   |   |                                |
| <b>** CONTINUING DATA *****</b> None J.D.   |   |                                   |   |   |                                |
| <b>** FOREIGN APPLICATIONS *****</b> Yes J.D.<br>TAIWAN 92113661 05/20/2003   |   |                                   |   |   |                                |
| <b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **</b><br>** 06/28/2004  |   |                                   |   |   |                                |
| Foreign Priority claimed <input checked="" type="checkbox"/> yes <input type="checkbox"/> no<br>35 USC 119 (a-d) conditions <input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after<br>met. <u>Allowance</u><br>Verified and <u>Jennif-her</u> J.D.<br>Acknowledged <u>Examiner's Signature</u> Initials |   | <b>STATE OR COUNTRY</b><br>TAIWAN | <b>SHEETS DRAWING</b><br>15   | <b>TOTAL CLAIMS</b><br>35               | <b>INDEPENDENT CLAIMS</b><br>2 |
| <b>ADDRESS</b><br>3624  |   |                                   |   |   |                                |
| <b>TITLE</b><br>Tunable optical add-drop multiplexer based on SOI wafer and manufacturing method thereof  |   |                                   |   |   |                                |
| <b>FILING FEE RECEIVED</b><br>520   | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |                                   | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )<br><input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |   |                                |